

**SCHEDULE C TO  
INVESTMENT MANAGEMENT AGREEMENT**

**NOTICE OF PRIVACY POLICY**

Broadleaf Partners, LLC (“Broadleaf”) is committed to maintaining the confidentiality, integrity and security of the nonpublic personal information of our potential, current and former clients. Broadleaf values our client relationships and recognizes that an essential element of those relationships is the trust and confidence that nonpublic personal information is treated as private and confidential. As a member of the financial services industry, Broadleaf is providing you with this Notice of Privacy Policy for informational purposes and will update and distribute it as required by law. It is also available upon request.

**Client Information.** As part of Broadleaf’s investment management services, Broadleaf obtains nonpublic personal information about you. Broadleaf may collect public and nonpublic personal information about you, through:

- Information we receive from you or your representative in meetings and conferences, on applications, other forms, contracts, or documents and correspondence; and
- Information about your transactions with us, or others.

**Protecting Your Nonpublic Personal Information.** Broadleaf employs procedures to safeguard your nonpublic personal information. Access to your nonpublic personal information is restricted by Broadleaf to only those authorized individuals who need to know this information in order for Broadleaf to provide products and services to you. We maintain physical, electronic and procedural safeguards to protect your nonpublic personal information.

**Disclosure Policy.** *Broadleaf does not provide your nonpublic personal information to mailing lists, vendors, or solicitors for any purpose.* Broadleaf only shares your nonpublic personal information with third parties *as allowed or required by law* where:

- Disclosure is necessary to process and service transactions which you have requested or authorized, or is necessary to service your account;
- Disclosure is to a third party which performs services on behalf of Broadleaf in the normal course of business. Broadleaf requires these providers to agree to keep the information confidential, to use it only for the intended purpose, and to abide by applicable law; and
- Disclosure is to governmental agencies or other regulatory bodies and law enforcement officials as allowed or required by law.

**Maintaining Accurate Information.** Broadleaf’s goal is to maintain accurate, up-to-date records in accordance with industry standards. We have procedures in place to keep information current and complete, including timely correction of inaccurate information.

**E-Mail.** E-mail correspondence does not provide a means for completely secure and private communications. However, we value the efficiency of email and encourage our clients to utilize email with discretion.